

## **Rules of ethical conduct for the journals OENO One and IVES Technical Reviews**

### **1. General principles**

The core value of OENO One and IVES Technical Reviews is the publication of scientific and technical articles with strict respect for scientific integrity in the context of publication, as defined by The European Code of Conduct for Research Integrity (ALLEA, 2023<sup>1</sup>). Particular attention is paid to transparency in relation to potential conflicts of interest (whether personal, financial, intellectual, professional, political or religious) on the part of authors, and to the implementation of all necessary measures to avoid conflicts of interest on the part of the editors (editors-in-chief, associate editors) and reviewers of a manuscript, in order to guarantee the publication of articles in strict compliance with the ethics of scientific publication.

The editor-in-chief, associate editor or reviewer may not take part in the review of a manuscript if they have a conflict of interest with one of its authors.

This non-exhaustive list gives examples of conflicts of interest:

- Being editor-in-chief of an IVES journal while at the same time being editor-in-chief of another scientific and/or technical journal dealing mainly with viticulture and/or enology;
- Having the same affiliation<sup>2</sup> as one of the authors;
- Having recently<sup>3</sup> supervised one of the authors;
- Having recently<sup>3</sup> been supervised by one of the authors;
- Having recently<sup>3</sup> jointly received funding from the institution of affiliation of one of the authors;
- Being a member of the same political organization, advocacy group, think tank or lobby group as one of the authors if its goals are directly related to one of the central subjects addressed by the manuscript;
- Belonging to the same family as one of the authors;
- Being in competition on a subject dealt with by the manuscript, particularly if its content could compromise the primacy of publication of the editor's or reviewer's own research;
- If the submitted manuscript could reveal results that would be detrimental to an industrial development in which the editor or reviewer has a financial interest;
- Being hostile towards one of the authors or their institution of affiliation;
- Being sympathetic to the point of complacency towards one of the authors or their institution of affiliation.

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<sup>1</sup> <https://allea.org/wp-content/uploads/2023/06/European-Code-of-Conduct-Revised-Edition-2023.pdf>

<sup>2</sup> "Affiliation" is understood to mean membership of a research group, research laboratory or company in the public or private sector. The term affiliation here does not mean "university" or "research organization" such as the USDA (USA), CRA (Italy), CNRS (France), INTA (Argentina), etc. In case of doubt, associate editors and reviewers may contact the editorial manager of the journal concerned.

<sup>3</sup> Recent / recently means within the past five years

Potential conflicts of interest must be rigorously declared by editors and reviewers of the journals OENO One and IVES Technical Reviews. An opportunity to declare a conflict of interest is given at every stage of the editorial process: when a manuscript is submitted to the editor-in-chief; when the associate editor receives a manuscript for review from the editor-in-chief, and when the re-viewer receives a manuscript for review from an editor.

The “critical rules of ethical conduct” are presented below. They form part of the IVES rules of procedure and apply to the process of reviewing manuscripts for publication in OENO One and IVES Technical Reviews. They must be scrupulously followed as part of the manuscript peer review process

## **2. Critical rules of ethical conduct for editors-in-chief**

- The designated associate editor shall not be (co)author of the article;
- The designated associate editor shall not have the same affiliation<sup>2</sup> as one of the authors;
- The editor-in-chief shall take into account any declaration of potential conflict(s) of interest by an associate editor. If the editor-in-chief believes that the conflict(s) of interest may compromise compliance with the principles of scientific integrity<sup>4</sup> and in particular scientific honesty<sup>4</sup> during the review of the manuscript, the editor-in-chief shall exclude the associate editor concerned from the manuscript review process. The editor-in-chief shall give grounds for this decision to the associate editor. The editor-in-chief shall then pass the manuscript to another associate editor;
- If a manuscript is rejected before the editor-in-chief sends it for review (“desk rejection”), the detailed grounds for rejection shall be provided to the authors;
- When an editor-in-chief takes charge of editing a paper, the rules of ethical conduct incumbent on an associate editor shall apply.

## **3. Critical rules of ethical conduct for associate editors**

- The reviewer designated by the associate editor shall not have the same affiliation<sup>2</sup> as one of the authors;
- The manuscript shall be reviewed by at least two independent reviewers. Exceptionally, if a reviewer cannot be found in time, one of the two reviews may be conducted by the associate editor, if and only if the associate editor believes that he has the knowledge required to review the manuscript;
- The associate editor shall take into account any declaration of potential conflict(s) of interest by an author of the manuscript or a reviewer. If the associate editor believes that the conflict(s) of interest may compromise compliance with the principles of scientific integrity<sup>4</sup> and in particular scientific honesty<sup>4</sup> during the review

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<sup>4</sup> Defined by ALLEA: <https://allea.org/wp-content/uploads/2023/06/European-Code-of-Conduct-Revised-Edition-2023.pdf>

of the manuscript, the associate editor shall exclude the reviewer concerned from the manuscript review process. The associate editor shall give grounds for this decision to the reviewer;

- When an associate editor takes on the role of reviewer, the rules of ethical conduct incumbent on reviewers shall apply;
- If a manuscript is rejected by the associate editor before sending it to the reviewers (“desk rejection”), the detailed grounds for rejection shall be provided to the authors.

#### **4. Critical rules of ethical conduct for reviewers when reviewing manuscripts**

- For any decision on an original manuscript (before revision), including acceptance, the reviewer shall provide written comments giving grounds for the decision;
- For any decision on a revised manuscript (as from the second round of reviews), the reviewer shall provide written comments giving grounds for the decision, except in case of acceptance of the revised version;
- Disrespectful, derogatory and impolite comments (and insults in particular) are prohibited.

#### **5. Procedure in the event of breaches of the critical rules of ethical conduct**

- If the editorial treatment of a manuscript breaches one of the critical rules of ethical conduct, the manuscript shall be put on hold pending a decision by the members of the IVES Executive Committee;
- An editor-in-chief, associate editor or reviewer who has breached one or more of the critical rules of ethical conduct shall be notified by the IVES Executive Committee;
- If an editor repeatedly breaches the rules of ethical conduct, one of the editors-in-chief, the editorial manager or the publication director may refer the matter to the IVES Executive Committee, which may or may not decide to dismiss an editor who has repeatedly breached the rules of ethical conduct (e.g. removal from the editorial board of the journal).

**References:** ALLEA (2023) The European Code of Conduct for Research Integrity – Revised Edition 2023. Berlin. DOI 10.26356/ECOC

**ANNEXES:** Some interesting articles on the COPE website:

- <https://publicationethics.org/case/conflicts-interest-issue-between-authors-and-ae>
- <https://publicationethics.org/competinginterests>
- <https://publicationethics.org/resources/guidelines/cope-ethical-guidelines-peer-reviewers>
- <https://publicationethics.org/sites/default/files/ethical-guidelines-peer-reviewers-cope.pdf>